IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In	re	A	pli	ica	tion	of:

Jones et al.

Serial No.: To be assigned

Filed: August 20, 2003

For: EFFICIENT PRODUCTION OF IgA IN RECOMBINANT MAMMALIAN

CELLS

Examiner (presumed): R. Mitra

Group Art Unit (presumed): 1653

Attorney Docket No.: 2578-6077US

NOTICE OF EXPRESS MAILING

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Person making Deposit: ____ Chris Haughton

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, D.C. 20231

Sir:

In compliance with the duty to disclose information material to patentability pursuant to 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 or PTO/SB/08 be considered by the Examiner and made of record. Copies of the listed documents are enclosed pursuant to 37 C.F.R. § 1.98(a).

In accordance with 37 C.F.R. § 1.97(g) and (h), filing of this Information Disclosure Statement is not to be construed as a representation that a search has been made or an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b). Further, no representation is made by Applicants herein that no other possible material information as defined in 37 C.F.R. § 1.56(b) exists.

U.S. Patent Documents

U.S. Patent No.	Publication Date	<u>Patentee</u>	
*US - 5,994,128	11-30-1999	Fallaux et al.	

Foreign Patent Documents

Document No.	Publication Date	<u>Patentee</u>
*WO 93/03163	02-18-1993	Fond Nat Transfusion
*WO 97/00326	01-03-1997	Univ Leiden
*WO 95/29994	11-09-1995	Univ Michigan
*EP 0 185 573	06-25-1986	Pasteur Institut
WO 00/63403	10-26-2000	Introgene B.V.

Other Documents

In compliance with the duty to disclose information material to patentability pursuant to 37 C.F.R. § 1.56 & 1.175, applicant hereby identifies the following listed copending applications naming the same inventor(s):

Attorney Docket No.: 2578-3840US Serial No.: 09/065,752

Filing Date: 4/24/1998

Title: PACKAGING SYSTEMS FOR HUMAN RECOMBINANT

ADENOVIRUS TO BE USED IN GENE THERAPY

Serial No.: 09/549,463 Filed: April 14, 2000

^{*}PCT International Search Report, PCT/NL00/00247, dated October 9, 2000, 3 pages.

Pursuant to 37 C.F.R. § 1.98(d), copies of the previously identified patents are <u>not</u> being provided since they were previously cited by or submitted to the Office in the following prior application:

For: RECOMBINANT PROTEIN PRODUCTION IN A HUMAN CELL, which application is being relied upon for an earlier filing date under 35 U.S.C. § 120.

Attorney Docket No.: 2183-4230US

Serial No.: Filing Date: 09/214,836 10/4/1999

Title:

MELANOMA ASSOCIATED PEPTIDE ANALOGUES AND

VACCINES AGAINST MELANOMA

Attorney Docket No.: 2183-4075US

Serial No.: Filing Date: 09/332,803 6/14/1999

Title:

PACKAGING SYSTEMS FOR HUMAN RECOMBINANT

ADENOVIRUS TO BE USED IN GENE THERAPY

Attorney Docket No.: 2578-4123.2US

Serial No.:

09/348,354 7/7/1999

Filing Date:

CHIMAERIC ADENOVIRUSES

Title:

Attorney Docket No.: Serial No.:

2183-4231US 09/444,284

Filing Date:

11/19/1999

Title:

GENE DELIVERY VECTORS PROVIDED WITH A TISSUE

TROPISM FOR SMOOTH MUSCLE CELLS, AND/OR

ENDOTHELIAL CELLS

Attorney Docket No.: 2183-4038.1US

Serial No.: Filing Date:

09/549,463 4/14/2000

Title:

RECOMBINANT PROTEIN PRODUCTION IN A HUMAN CELL

Attorney Docket No.: 2183-3982.2US Serial No.: 09/517,898

Filing Date:

3/3/2000

Title:

MEANS AND METHODS FOR FIBROBLAST-LIKE OR

MACROPHAGE-LIKE CELL TRANSDUCTION

Attorney Docket No.: 2183-4070.1US Serial No.: 09/573,740

Filing Date:

5/18/2000

Title:

SEROTYPES OF ADENOVIRUS AND USES THEREOF

Attorney Docket No.: 2578-4489US Serial No.: 09/665,472

Filing Date:

9/20/2000

Title:

GENE DELIVERY VECTORS PROVIDED WITH A TISSUE

TROPISM FOR DENDRITIC CELLS

Attorney Docket No.: 2183-4843US Serial No.: 09/843,894

Filing Date:

4/27/2001

Title:

AAV VECTOR PRODUCTION

Attorney Docket No.: 2578-3840.1US

Serial No.:

09/900,062 7/6/2001

Filing Date: Title:

PACKAGING SYSTEMS FOR HUMAN RECOMBINANT

ADENOVIRUS TO BE USED IN GENE THERAPY

Attorney Docket No.: 2578-3935.1US Serial No.: 09/912,552

Filing Date:

7/23/2001

Title:

PACKAGING SYSTEMS FOR HUMAN RECOMBINANT

ADENOVIRUS TO BE USED IN GENE THERAPY

Attorney Docket No.: 2578-3833.5US Serial No.: 09/918,029 Filing Date: 7/30/2001

Title:

PACKAGING SYSTEMS FOR HUMAN RECOMBINANT

ADENOVIRUS TO BE USED IN GENE THERAPY

Attorney Docket No.: 2578-4509.1US Serial No.: 09/928,262 Filing Date: 8/10/2001

Title:

GENE DELIVERY VECTORS WITH CELL TYPE SPECIFICITY

FOR PRIMARY HUMAN CHONDROCYTES

Attorney Docket No.: 2578-4123.1US Serial No.: 09/953,280 Filing Date: 9/14/2001

Title:

CHIMAERIC ADENOVIRUSES

Attorney Docket No.:

2183-5006.1US

Serial No.:

10/010,645

Filing Date:

11/13/2001

Title:

GENE DELIVERY VECTORS WITH CELL TYPE SPECIFICITY

FOR MESENCHYMAL STEM CELLS

Attorney Docket No.:

2578-3833.6US

Serial No.: Filing Date:

10/038,271 10/23/2001

Title:

PACKAGING SYSTEMS FOR HUMAN RECOMBINANT

ADENOVIRUS TO BE USED IN GENE THERAPY

Attorney Docket No.:

2578-3833.7US

Serial No.:

10/125,751

Filing Date:

4/18/2002

Title:

PACKAGING SYSTEMS FOR HUMAN RECOMBINANT

ADENOVIRUS TO BE USED IN GENE THERAPY

Attorney Docket No.:

2578-3955.1US

Serial No.:

10/136,139

Filing Date:

5/1/2002

Title:

MEANS AND METHODS FOR NUCLEIC ACID DELIVERY

VEHICLE DESIGN AND NUCLEIC ACID TRANSFER

Attorney Docket No.:

2578-3833.8US

Serial No.:

10/219,414

Filing Date:

8/15/2002

Title:

STOCKS OF REPLICATION DEFICIENT ADENOVIRUS

Attorney Docket No.:

2578-4215.1US

Serial No.:

10/231,735

Filing Date:

8/28/2002

Title:

INTERLEUKIN-3 GENE THERAPY FOR CANCER

Attorney Docket No.:

2578-4038.2US

Serial No.:

10/234,007

Filing Date:

9/3/2002

Title:

RECOMBINANT PROTEIN PRODUCTION IN A HUMAN CELL

Attorney Docket No.: Serial No.:

2578-5592US 10/305,435

Filing Date:

11/25/2002

Title:

METHODS AND MEANS FOR ENHANCING SKIN

TRANSPLANTATION USING GENE DELIVERY VEHICLES HAVING TROPISM FOR PRIMARY FIBROBLASTS, AS WELL AS OTHER USES THEREOF (PRIMAIRE FIBROBLASTEN)

Attorney Docket No.:

2578-5832US 10/381,088 3/20/2003

Serial No.: Filing Date:

Title:

Title:

ADENOVIRAL VECTORS PROVIDED WITH A TROPISM FOR

DENDRITIC CELLS

Attorney Docket No.:

2578-5904US 10/381,857 3/26/2003

Serial No.: Filing Date:

ADENOVIRAL VECTORS FOR GENE DELIVERY IN

SKELETAL MUSCLE CELLS OR MYOBLASTS

Attorney Docket No.:

2578-3840.2US 10/396,548 3/25/2003

Serial No.: Filing Date:

Title:

PACKAGING SYSTEMS FOR HUMAN RECOMBINANT

ADENOVIRUS TO BE USED IN GENE THERAPY

Attorney Docket No.:

2578-3833.9US 10/618,526 7/11/2003

Title:

Serial No.:

Filing Date:

PACKAGING SYSTEMS FOR HUMAN RECOMBINANT

ADENOVIRUS TO BE USED IN GENE THERAPY

This Information Disclosure Statement is filed before the mailing date of a first Office Action on the merits.

Respectfully submitted,

Krista Weber Powell Registration No. 47,867 Attorney for Applicant(s)

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Salt Lake City, Utah 84110-2550

Telephone: 801-532-1922

Date: August 20, 2003

ACT/bv

Enclosures: Form PTO-1449 or PTO/SB/08

Document in ProLaw

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number Substitute for form 1449A/PTO Complete if Known INFORMATION DISCLOSURE Application Number To be assigned STATEMENT BY APPLICANT Filing Date August 20, 2003 First Named Inventor Jones et al. Group Art Unit 1653 (presumed) (use as many sheets as necessary) Examiner Name R. Mitra (presumed) Sheet of 2 2578-6077US Attorney Docket Number

			U.S. PATENT D	OCUMENTS	
Examiner Initials *	Cite No. ¹	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevan Passages or Relevant Figures Appear
<u> </u>		*US-5,994,128	11-30-1999	Fallaux et al.	
		US-			
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Examiner Cite	Foreign Patent Document		Name of Patentee or	Pages, Columns, Lines,	İ	
Initials*	No.1	Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T ⁶
		*WO 93/03163	02-18-1993	Fond Nat Transfusion		
		*WO 97/00326	01-03-1997	Univ Leiden		
		*WO 95/29994	11-09-1995	Univ Michigan		
		*EP 0 185 573	06-25-1986	Pasteur Institut		
		WO 00/63403	10-26-2000	Introgene B.V.		

Examiner Signature	Date Considered	

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional) . ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute	for form 1449A/PTO				Complete if Known	
INIEC		TINE	OCI OCIDE	Application Number	To be assigned	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Filing Date	August 20, 2003	
				First Named Inventor	Jones et al.	
				Group Art Unit	1653 (presumed)	
_	(use as many she	ets as	necessary)	Examiner Name	R. Mitra (presumed)	
Sheet	2	of	2	Attorney Docket Number	2578-6077US	

OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS					
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²		
		*PCT International Search Report, PCT/NL00/00247, dated October 9, 2000, 3 pages.			
					
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Examiner	Date	
Signature	 Considered	

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Serial No.: 09/549,463 Filed: April 14, 2000

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¹ Unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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For: RECOMBINANT PROTEIN PRODUCTION IN A HUMAN CELL, which application is being relied upon for an earlier filing date under 35 U.S.C. § 120.